



DEC Recommended Practices in Early Intervention/Early Childhood Special Education

Administrator's Essentials:

Creating Policies and Procedures That Support Recommended Practices in Early Intervention/Early Childhood Special Education (EI/ECSE)

Barbara J. Smith • December 2000

There is a link between program quality and child outcomes. Therefore, programs that employ best practices will positively impact the outcomes of children and families they serve.

Implementing recommended practices in services for young children with disabilities and their families requires administrative policies, procedures, and structures that will support such practice. For instance, providing family-based resources and supports or child-focused services in natural settings requires flexible personnel job descriptions and hours of work that promote service provision in the home or community setting during hours convenient for the family or community program. Recommended practices also require cutting-edge knowledge and skills through on-going, job-related training and technical assistance supports. This richness of policies, procedures, and supports will occur only if administrators (a) are knowledgeable of recommended practice in EI/ECSE, (b) share resources with other programs and agencies, and (c) engage in systems change and planning.

This checklist contains relevant recommended practices from the seven strands of the DEC Recommended Practices in Early Intervention/Early Childhood Special Education (Sandall, McLean, & Smith, 2000) that give specific direction to administrators. Most of these recommendations are found in the Policies, Procedures, and Systems Change chapter of the DEC Recommended Practices. This checklist of selected practices can be used by administrators to reflect on their policies. However, administrators are encouraged to become familiar with all of the practices in DEC Recommended Practices in Early Intervention/Early Childhood Special Education (Sandall, McLean, & Smith, 2000) in order to appropriately serve young children with disabilities and their families.

Administrators, other professionals, and families shape policy at the national, state, and local levels that promote the use of recommended practices in early intervention/early childhood special education. Examples/Notes: *Is this practice evident in policy/procedure?* ☐ Yes ☐ Emerging ☐ No Administrators ensure that they and their staff have the knowledge, training, and credentials necessary to implement the DEC Recommended Practices in early intervention/early childhood special education. Program coordinators/supervisors have training in early childhood education, early intervention, early childhood special education, and supervision. Examples/Notes: *Is this practice evident in policy/procedure?* ☐ Yes ☐ Emerging ☐ No Administrators are affiliated with professional early childhood/early childhood special education organizations and encourage staff to maintain their affiliations. Continuing education such as staff attendance at meetings and conferences to enhance professional growth is supported. Examples/Notes: ☐ No Is this practice evident in policy/procedure? ☐ Yes ☐ Emerging Program policies provide clear job descriptions and provide for personnel competencies and on-going staff development, technical assistance, supervision, and evaluation to inform and improve the skills of practitioners and administrators. *Examples/Notes: Is this practice evident in policy/procedure?* ☐ Yes ☐ Emerging ☐ No



Handout 4.10: Leadership Strategies

Chapter 10: Administrator's Essentials Checklist

Program policies and administration promote families as partners in the planning and delivery of services, supports, and resources.					
• When creating program policies and procedures, strategies are employed to capture family and community voices and to support the active and meaningful participation of families and community groups including those that are traditionally underrepresented. Examples/Notes:					
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	□ No		
 Program policies create a participatory decision-making process of all stakeholders including individuals with disabilities. Training in teaming is provided as needed. Examples/Notes: 					
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	□No		
 Program policies ensure that families understand their rights including conflict resolution, confidentiality, and other matters. Examples/Notes: 					
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	□No		
 Program policies are examined and revised as needed to ensure that they reflect and respect the diversity of children, families, and personnel. Examples/Notes: 					
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	□ No		

what the policy means.				
Examples/Notes:				
Is this practice evident in	policy/procedure?	☐ Yes	☐ Emerging	
Education Program (IEP	nt procedures, Individuali), intervention, transition ons for the location, timi	zed Family Service n, etc.) including p	Plan (IFSP)/Indiviresenting families v	iduali with f
Examples/Notes:				
Is this practice evident in	policy/procedure?	☐ Yes	☐ Emerging	
 Program policies provide outcomes to stakeholder 		f information abo	ut program initiativ	ves an
Evamples/Notes				
Examples/Notes:				
Examples/Notes: Is this practice evident in	policy/procedure?	□ Yes	☐ Emerging	
Is this practice evident in ogram policies and admactices. Program policies reflect group size, case loads, satraining, and technical a	inistration promote th	e use of DEC's a ncluding personne , and EI/ECSE serv	nd other recomm el standards, child-s ices and practices.	ende staff 1
Is this practice evident in ogram policies and admactices. • Program policies reflect group size, case loads, sa	ninistration promote the recommended practices in the same assistive technology	e use of DEC's a ncluding personne , and EI/ECSE serv	nd other recomm el standards, child-s ices and practices.	staff r Incen

 Program policies establish accountability systems that provide resources, supports, and clear action steps to ensure compliance with regulations and to ensure that recommended practices are adopted, utilized, maintained, and evaluated resulting in high quality services. Examples/Notes: 					
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No		
• Program policies support the provision of services in inclusive or natural learning environments (places in which typical children participate such as the home or community settings, public and private preschools, child care, recreation groups, etc.). Strategies are used to overcome challenges to inclusion. Examples/Notes:					
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	□No		
• Program policies ensure that the IFSP/IEP is used on type and amounts of services, the location of service <i>Examples/Notes</i> :			termine the		
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	□ No		
• Program policies ensure that family supports, service coordination, transitions, and other practices occur in response to child and family needs rather than being determined by the age of the child (e.g., b-2, 3-5). Examples/Notes:					
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	□ No		

Program policies ensure that multiple instructional models are available to meet the individual needs of children (e.g., less structure to more structure; child-driven to teacher-driven; peer-mediated to teacher-mediated, etc.). Examples/Notes: Is this practice evident in policy/procedure?				
Solution	needs of children (e.g., less structure to more structure			
Administrators provide for a supportive work environment (e.g., hiring and retention policies, compensation and benefits, safety, workspace, etc.). Examples/Notes: Is this practice evident in policy/procedure?	Examples/Notes:			
Administrators provide for a supportive work environment (e.g., hiring and retention policies, compensation and benefits, safety, workspace, etc.). Examples/Notes: Is this practice evident in policy/procedure?				
compensation and benefits, safety, workspace, etc.). Examples/Notes: Is this practice evident in policy/procedure?	Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	□ No
Is this practice evident in policy/procedure?	compensation and benefits, safety, workspace, etc.).	ment (e.g., hi	ring and retention p	policies,
Program policies and administration promote interagency and interdisciplinary collaboration. • Program policies include structures and mechanisms such as job descriptions, planning time, training, and resources for teaming resulting in meaningful participation for on-going coordinatic among professionals, families, and programs related to service delivery including transition. Examples/Notes: Is this practice evident in policy/procedure?	Emanipres 1 votes.			
 Program policies include structures and mechanisms such as job descriptions, planning time, training, and resources for teaming resulting in meaningful participation for on-going coordination among professionals, families, and programs related to service delivery including transition. Examples/Notes: Is this practice evident in policy/procedure?	Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	□ No
training, and resources for teaming resulting in meaningful participation for on-going coordination among professionals, families, and programs related to service delivery including transition. Examples/Notes: Is this practice evident in policy/procedure?	• •	gency and in	terdisciplinary	
 Is this practice evident in policy/procedure? ☐ Yes ☐ Emerging ☐ No Program policies facilitate and provide for comprehensive and coordinated systems of services through interagency collaboration by clearly delineating the components, activities, and responsibilities of all agencies (e.g., joint policies across agencies; collaborative planning on a system, chil and family basis; shared forms and plans; etc.). Examples/Notes: 	training, and resources for teaming resulting in meaning	ngful particip	oation for on-going	coordination
• Program policies facilitate and provide for comprehensive and coordinated systems of services through interagency collaboration by clearly delineating the components, activities, and responsibilities of all agencies (e.g., joint policies across agencies; collaborative planning on a system, chil and family basis; shared forms and plans; etc.). Examples/Notes:	Examples/Notes:			
through interagency collaboration by clearly delineating the components, activities, and responsibilities of all agencies (e.g., joint policies across agencies; collaborative planning on a system, chil and family basis; shared forms and plans; etc.). Examples/Notes:	Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	□ No
	through interagency collaboration by clearly delineati bilities of all agencies (e.g., joint policies across agenc and family basis; shared forms and plans; etc.).	ng the compo	onents, activities, an	d responsi-
Is this practice evident in policy/procedure? ☐ Yes ☐ Emerging ☐ No	Examples/Notes:			
	Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	□ No

Permission to copy not required—distribution encouraged.

vanderbilt.edu/csefel

Handout 4.10: Leadership Strategies

Chapter 10: Administrator's Essentials Checklist

 Program policies result in families and professionals developing and implementing IFSPs/IEPs that integr 			
Examples/Notes:			
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No
Program policies, administration, and leadership prochange efforts at the community level.	romote progra	m evaluation and	d systems
 A shared vision (of all stakeholders), clear values/be context to be changed guide efforts to restructure an change result from regular analysis and evaluation o knowledge, and current practices. 	d reform systen	ns. Decisions about	t what to
Examples/Notes:			
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No
Assessment of the interests, issues, and priorities of direction of leadership and systems change strategies.		ips guides the selec	ction and
Examples/Notes:			
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No
 Leadership and systems change efforts produce posit munities that are responsive to their needs. Evaluation, tion, (b) more efficient and effective supports for change leadership and strategies. 	on data are used	d to ensure: (a) ser	vice utiliza-
Examples/Notes:			
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No

ble

all levels of the organization are cultivated. Examples/Notes:			
Examples 11 votes.			
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	1
• Leadership and systems change efforts include a development, funding, program evaluation, accourriculum and naturalistic instruction/supports Examples/Notes:	ountability, governa		
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	1 🖵
 Is this practice evident in policy/procedure? Leadership and systems change efforts rely on st across systems: between consumer and system, a among components within a system, and among Examples/Notes: 	rong relationships	and collaboration v	and fan
Leadership and systems change efforts rely on st across systems: between consumer and system, a among components within a system, and among	rong relationships	and collaboration v	within a
• Leadership and systems change efforts rely on st across systems: between consumer and system, a among components within a system, and among Examples/Notes:	rong relationships across systems that g professionals from	and collaboration videal with children and diverse discipline	within a and fands.
 Leadership and systems change efforts rely on st across systems: between consumer and system, a among components within a system, and among Examples/Notes: Is this practice evident in policy/procedure? Leadership is committed and willing to change of the components of the committed and willing to change of the committed and will be committed. 	rong relationships across systems that g professionals from	and collaboration videal with children and diverse discipline	within a and fanes.

Handout 4.10: Leadership Strategies

Chapter 10: Administrator's Essentials Checklist

 Change is institutionalized through the development of coordinated management and accountability systems. 					
Examples/Notes:					
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No		
 Resources are provided for program evaluation that incorporating appropriate measurable indicators of and preferences. 					
Examples/Notes:					
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No		
 Program evaluation is comprehensive, is multi-dim for assessing the progress and outcomes of change. cultural, contextual, demographic, and experiential individuals with disabilities. Examples/Notes: 	Evaluation effort	s take into accoun	t differing		
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	☐ No		
 Program policies delineate all components of service delivery and provide for tracking and evaluation of all components, including child and family outcomes, to ensure that recommended practices are implemented as intended. Examples/Notes: 					
Is this practice evident in policy/procedure?	☐ Yes	☐ Emerging	□ No		

reproducible

Reference

Chapter 10: Administrator's Essentials Checklist

Sandall, S., McLean, M.E., & Smith, B.J. (2000). DEC Recommended practices in early intervention/early childhood special education. Longmont, CO: Sopris West.

Note

This checklist is also available free of charge from the DEC website: www.dec-sped.org

For more information on DEC Recommended Practices in Early Intervention/Early Childhood Special Education:

Division for Early Childhood/Council for Exceptional Children

1380 Lawrence St., Suite 650, Denver, CO 80204

Phone: (303) 556-3328 Fax: (303) 556-3310

Email: dec@ceo.cudenver.edu Website: www.dec-sped.org

To order a copy of DEC Recommended Practices in Early Intervention/Early Childhood Special Education contact:

Sopris West

4093 Specialty Place

Phone: (800) 547-6747 Fax: (888) 819-7767

Website: www.sopriswest.com

Disclaimer

Funding for this publication came in part from a grant to the University of Colorado at Denver and the Division for Early Childhood from the U.S. Department of Education, Office of Special Education Programs (grant no. H324D.980033). The contents of this book do not necessarily reflect the views or policies of the U.S. Department of Education or the University of Colorado at Denver.



The Division for Early Childhood assumes no liability or risk that may be incurred as a consequence, directly or indirectly, of the use and application of any of the contents of this publication. DEC does not perform due diligence on advertisers or their products or services and cannot endorse or guarantee that their offerings are suitable or accurate.



Permission to copy not required—distribution encouraged.